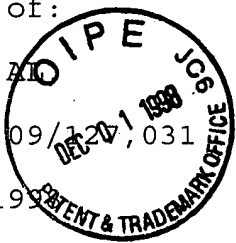


35.C12892

Sector \$
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
KEISUKE ARAKI, ET AL) : Examiner: Unassigned
Application No.: 09/120,031) : Group Art Unit: 2851
Filed: July 31, 1998) :
For: OPTICAL ELEMENT AND) : December 1, 1998
OPTICAL APPARATUS) :



Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL
PROCESSING AND CORRESPONDENCE BRANCH**

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED mailed October 1, 1998,
enclosed please find an executed Declaration/Power of
Attorney form, together with the surcharge fee of \$130.00.
Also enclosed is a copy of the NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED.

The Assistant Commissioner is authorized to charge
any additional fees or credit any overpayment to Deposit
Account No. 06-1205. A duplicate of this paper is attached
for that purpose.

Applicants' undersigned attorney may be reached in
our Washington, D.C. office by telephone at (202) 530-1010.
All correspondence should continue to be directed to our
below-listed address.

Respectfully submitted,

Daniel H. Lueck

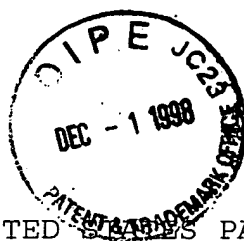
Attorney for Applicants

Registration No. 37,838

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

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35.C12892



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
KEISUKE ARAKI, ET AL.) Examiner: Unassigned
Application No.: 09/127,031) Group Art Unit: 2851
Filed: July 31, 1998)
For: OPTICAL ELEMENT AND) December 1, 1998
OPTICAL APPARATUS)

Box Missing Parts
The Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Applicants are in receipt of an Official Filing Receipt in the above-referenced application, and respectfully request issuance of a corrected Official Filing Receipt.

The "FOREIGN APPLICATIONS" information reads

"JAPAN 9-221950 08/04/97",

12/08/1998 TTUBBS bu 00000012-09127031 read

02 FC:576

25.00 OP

--JAPAN 9-221950 08/04/97

JAPAN 10-179706 06/11/98--.

A copy of the Official Filing Receipt having the foregoing change noted thereon in red ink is attached hereto.

FORMAL MATTERS

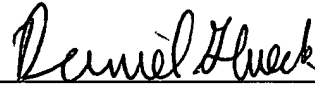
Enclosed herewith is a check for \$25.00 to cover the fee for a corrected filing receipt. Any overpayment should be credited and any deficiency charged to Deposit Account 06-1205. A duplicate of this paper is enclosed.

CONCLUSION

In view of the foregoing, Applicants respectfully request issuance of a corrected Official Filing Receipt.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

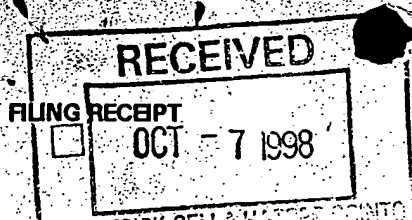


Attorney for Applicants

Registration No. 37,838

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30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

F504\W170700\DSG\tnt



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

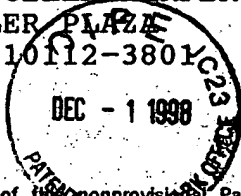
| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/127,031 | 07/31/98 | 2851 | \$4,068.00 | 35.C12892 | 26 | 153 | 4 |

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FITZPATRICK CELLA HARPER & SCINTO

30 ROCKEFELLER PLAZA

NEW YORK NY 10112-3801



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

KEISUKE ARAKI, YOKOHAMA-SHI, JAPAN; TSUNEFUMI TANAKA,
YOKOHAMA-SHI, JAPAN; MAKOTO SEKITA, YOKOHAMA-SHI, JAPAN;
KENICHI KIMURA, KAWASAKI-SHI, JAPAN; TAKESHI AKIYAMA,
YOKOHAMA-SHI, JAPAN; TOSHIHIRO SUNAGA, KAWASAKI-SHI,
JAPAN.

FOREIGN APPLICATIONS-

JAPAN

9-221950

08/04/97

JAPAN

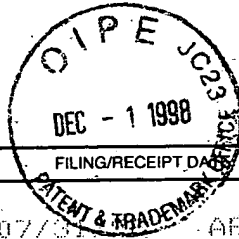
10-179706

06/11/98

TITLE

OPTICAL ELEMENT AND OPTICAL APPARATUS

PRELIMINARY CLASS: 396



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
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09/127.031

07/31

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FITZPATRICK CELLA HARPER & SCINTO
30 ROCKEFELLER PLAZA
NEW YORK NY 10112-3801

NOT ASSIGNED

2851

DATE MAILED:

10/01/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Daniel H. Hunk

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

01 FC-105 The signature of the following inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202